

Please use this form if you want the Hong Kong Offer Shares to be issued in the name of HKSCC Nominees Limited (“HKSCC Nominees”) and deposited directly into the Central Clearing and Settlement System (“CCASS”) for credit to your CCASS Investor Participant stock account or the stock account of your designated CCASS Participant 如閣下欲以香港中央結算(代理人)有限公司(「香港結算代理人」)的名義登記將獲發行的香港發售股份,並直接存入中央結算及交收系統(「中央結算系統」),以記存於閣下的中央結算系統投資者戶口持有人股份戶口或閣下指定的中央結算系統參與者股份戶口,請使用本表格

Staple your
payment
here
請將股款
緊釘在此

This Application Form is the same as amended in the prospectus of Ganfeng Lithium Co., Ltd. (the Company) dated September 27, 2018 (the Prospectus).

本申請表格使用江西贛鋒鋳業股份有限公司(「本公司」)於2018年9月27日刊發的招股章程(「招股章程」)所界定的相同詞語。

Neither this Application Form nor the Prospectus constitute an offer or solicitation of an offer of any Hong Kong Offer Share in any jurisdiction other than Hong Kong. The Hong Kong Offer Share may not be offered or sold in the United States, either by registration or an exemption from registration under the U.S. Securities Act.

本申請表格及招股章程概不構成在香港以外任何司法權區要約出售或游說要約購買任何香港發售股份。若無根據美國證券法登記或獲豁免登記,香港發售股份不得在美國提呈發售或出售。

This Application Form and the Prospectus may not be forwarded or distributed (in whole or in part) in any manner whatsoever in any jurisdiction where such forwarding, distribution or distribution is not permitted under the law of that jurisdiction.

在任何根據當地法例不得發送、派發或複製本申請表格及招股章程的司法權區內,概不得發送或派發或複製(不論方式,也不論全部或部分)本申請表格及招股章程。

Copies of the Prospectus, all related Application Form and other documents filed in the Documents Delivered to the Registrar of Companies and Available for Inspection section in Article VIII of the Prospectus, have been registered by the Registrar of Companies in Hong Kong and filed by Section 342C of Companies (Winding Up and Miscellaneous Provisions) Ordinance (Cap. 32), Hong Kong Exchange and Clearing Limited, The Stock Exchange of Hong Kong Limited (the Stock Exchange), Hong Kong Securities Clearing Company Limited (HKSCC), the Securities and Futures Commission of Hong Kong (the SFC) and the Registrar of Companies in Hong Kong available on file for the content of the documents.

招股章程、所有相關申請表格及招股章程附錄八「送呈公司註冊處處長及備查文件」一節所述其他文件的副本已按第32章《公司(清盤及雜項條文)條例》第342C條規定送呈香港公司註冊處處長登記。香港交易及結算有限公司、香港聯合交易所有限公司(「聯交所」)、香港中央結算有限公司(「香港結算」)、香港證券及期貨事務監察委員會(「證監會」)及香港公司註冊處處長對此等文件的內容概不負責。



Ganfeng Lithium Co., Ltd. 江西贛鋒鋳業股份有限公司

(A joint stock company incorporated in the People's Republic of China with limited liability)
(於中華人民共和國註冊成立的股份有限公司)

Stock Code : 1772
股份代號 : 1772

Maximum Offer Price : HK\$26.50 per H Share plus brokerage of 1%, SFC transaction levy of 0.0027% and Hong Kong Stock Exchange trading fee of 0.005% (payable in full on application in Hong Kong dollars and subject to refund)
最高發售價 : 每股H股26.50港元,另加1%經紀佣金、0.0027%證監會交易徵費及0.005%香港聯交所交易費(須於申請時以港元繳足,多繳股款可予退還)

You should read this Application Form in conjunction with the Prospectus, which contains further information on the application procedures. 招股章程尚有其他關於申請程序的資料,本申請表格應與招股章程一併閱讀。

Application Form 申請表格

To: Ganfeng Lithium Co., Ltd.
Sole Sponsor
Joint Global Coordinator
Joint Bookrunner
Joint Lead Manager

致: 江西贛鋒鋳業股份有限公司
獨家保薦人
聯席全球協調人
聯席賬簿管理人
聯席牽頭經辦人

Applicants' declaration

I/We agree to the terms and conditions and application procedures in this Application Form and the Prospectus. Please refer to the “Effect of completing and submitting this Application Form” section of this Application Form.

申請人聲明

本人/吾等同意本申請表格及招股章程的條款及條件以及申請程序。請參閱本申請表格「填寫及遞交本申請表格的效用」一節。

Warning: Only one application may be made for the benefit of any person. Please refer to the last four bullets of the “Effect of completing and submitting this Application Form” section of this Application Form.

警告: 任何人士只限作出一次為其利益而提出的認購申請。請參閱本申請表格「填寫及遞交本申請表格的效用」一節最後四點。

Please use this form if you want the Hong Kong Offer Shares to be issued in the name of HKSCC Nominees Limited (“HKSCC Nominees”) and deposited directly into the Central Clearing and Settlement System (“CCASS”) for credit to your CCASS Investor Participant stock account or the stock account of your designated CCASS Participant 如閣下欲以香港中央結算(代理人)有限公司(「香港結算代理人」)的名義登記將獲發行的香港發售股份,並直接存入中央結算及交收系統(「中央結算系統」),以記存於閣下的中央結算系統投資者戶口持有人股份戶口或閣下指定的中央結算系統參與者股份戶口,請使用本表格

Signed by (all) applicant(s) (all joint applicants must sign):
 由(所有)申請人簽署(所有聯名申請人必須簽署):

Date 日期:
 D 日 M 月 Y 年

Number of Hong Kong Offer Shares applied for (no more than 10,009,400 shares)
 申請香港發售股份數目(不超過10,009,400股股份)

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Total amount 總額

HK\$	港元
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Name in English (in BLOCK Letters) 英文姓名 名稱(正楷)

Familial name or company name 姓氏或公司名稱

Name in Chinese 中文姓名 名稱

Familial name or company name 姓氏或公司名稱

Occupation in English 職業(以英文填寫)

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Name of all other joint applicants in English (if any, in BLOCK Letters)
 所有其他聯名申請人的英文姓名 名稱(如有,正楷)

1)
2)
3)

Hong Kong address in English and telephone no. (joint applicants hold give the address and the telephone number of the named applicant only, in BLOCK Letters)
 香港地址(以英文正楷填寫)及電話號碼(聯名申請人只須填寫排名首位申請人的地址及電話號碼)

For Nominee: You will be treated as a principal beneficiary if you do not complete this section. Please provide an account number or identification code for each (joint) beneficiary. 由代名人遞交: 代名人若不填寫本節,是項認購申請將視為閣下本身利益提出。請填寫每名(聯名)實益擁有人的賬戶號碼或識別編碼。

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ADDRESS LABEL 地址標貼 (Your name(s) and address in Hong Kong in BLOCK letters) 請用英文正楷填寫姓名 名稱及香港地址

For internal use
 此欄供內部使用

For Broker, please fill in this column 此欄供經紀填寫	Lodged by 遞交申請的經紀
Broker No. 經紀號碼	Broker's Chop 經紀印章

Check/banker's cashier order number 支票 銀行本票號碼

Name of bank on which check/banker's cashier order is drawn (see HQ to make or a declaration section) 兌現支票 銀行本票的銀行名稱(見「申請手續」一節)

Forename() 名字

Forename() 名字

Hong Kong Identity Card No./Passport No./Hong Kong Business Registration No.* (Please delete a slash) 香港身份證號碼 護照號碼 香港商業登記號碼*(請刪除不適用者)

Hong Kong Identity Card No./Passport No./Hong Kong Business Registration No. of all other joint applicants* (Please delete a slash) 所有其他聯名申請人的香港身份證號碼 護照號碼 香港商業登記號碼*(請刪除不適用者)

1)
2)
3)

Telephone No. 電話號碼

THIS BOX MUST BE DULY COMPLETED 必須填妥此欄

Participant I.D. of the CCASS Investor Participant or designated CCASS Participant 中央結算系統投資者戶口持有人或指定的中央結算系統參與者的參與者編號

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For designated CCASS Participant or Co-Participant, please affix the company seal of the designated CCASS Participant or the designated CCASS Participant's company seal 指定的中央結算系統參與者或公司中央結算系統投資者戶口持有人,請加蓋顯示公司名稱的公司印鑑

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(See paragraph 2 in the declaration HQ to make or a declaration) (請參閱「申請手續」一節第2段)

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- * (1) If you are a CCASS Investor Participant, only a Hong Kong Identity Card number (if you are an individual) or a Hong Kong Business Registration number (if you are a body corporate) will be accepted for this application, leave the appropriate 2, under the section “How to make an application”. 如閣下為中央結算系統投資者戶口持有人，是項申請僅接納香港身份證號碼(如屬個別人士)或香港商業登記號碼(如屬法人團體)，請參閱「申請手續」一節第2段。
- (2) If you are a long or short designated CCASS Participant (other than a CCASS Investor Participant): For an individual, you must provide your Hong Kong Identity Card number or a passport number. If you hold a Hong Kong Identity Card, leave the identity card number. If you do not hold a Hong Kong Identity Card, leave the passport number. For a body corporate, leave the Hong Kong Business Registration number. 如閣下透過中央結算系統投資者戶口持有人以外的指定中央結算系統參與者提出申請：如屬個別人士，必須填寫閣下的香港身份證號碼或護照號碼(持有香港身份證者請填寫香港身份證號碼，否則請填寫護照號碼)。如屬法人團體，請填寫香港商業登記號碼。
- (3) Part of the Hong Kong Identity Card number/ a passport number of you or, for joint application, the joint-named applicant may be required on your reference (if any). Such data will be used for checking the validity of Application Form and it should also be referred to a third party for which you are and refer to you. Your banker may require verification of your Hong Kong Identity Card number/ a passport number before you can cash or refer to the. 退款支票(如有)上或會印有閣下或(如屬聯名申請人)排名首位申請人的香港身份證號碼 護照號碼的一部分。有關資料將用於核實申請表格的有效性，亦會轉交第三方作資料核實和退款。銀行兌現退款支票前或會要求查證閣下的香港身份證號碼 護照號碼。
- (4) If an application is made by an unlisted company and:
the principal business of the company is dealing in securities; and
you exercise actual control over the company,
then the application will be treated as being made for your benefit.
倘若申請人是一家非上市公司，而：
該公司主要從事證券買賣業務；及
閣下對該公司可行使法定控制權，
是項申請將視作為閣下的利益提出。
- (5) All joint application must give (if they are individual) their Hong Kong Identity Card number, or, where applicable, a passport number, or (if they are bodies corporate) their Hong Kong Business Registration number. 所有聯名申請人必須提供(如屬個別人士)其香港身份證號碼或(如適用)護照號碼，或(如屬法人團體)其香港商業登記號碼。

樣版

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此乃白頁 特意留空

Sample

Please use this form if you want the Hong Kong Offer Shares to be issued in the name of HKSCC Nominees Limited (“HKSCC Nominees”) and deposited directly into the Central Clearing and Settlement System (“CCASS”) for credit to your CCASS Investor Participant stock account or the stock account of your designated CCASS Participant

How to make your application

1. Use the table below to calculate how much or more you want to apply for. Your application must be for a minimum of 200 Hong Kong Offer Shares and in one of the number of shares in the table, or your application will be rejected.

Ganfeng Lithium Co., Ltd. (Stock Code: 1772) (HK\$26.50 per H Share)							
NUMBER OF HONG KONG OFFER SHARES THAT MAY BE APPLIED FOR AND PAYMENTS							
No. of Hong Kong Offer Shares applied for	Amount payable on application HK\$	No. of Hong Kong Offer Shares applied for	Amount payable on application HK\$	No. of Hong Kong Offer Shares applied for	Amount payable on application HK\$	No. of Hong Kong Offer Shares applied for	Amount payable on application HK\$
200	5,353.41	7,000	187,369.29	300,000	8,030,112.15	8,000,000	214,136,324.00
400	10,706.82	8,000	214,136.32	400,000	10,706,816.20	9,000,000	240,903,364.50
600	16,060.23	9,000	240,903.37	500,000	13,383,520.25	10,009,400 ⁽¹⁾	267,922,015.19
800	21,413.63	10,000	267,670.41	600,000	16,060,224.30		
1,000	26,767.05	20,000	535,340.81	700,000	18,736,928.35		
1,200	32,120.45	30,000	803,011.22	800,000	21,413,632.40		
1,400	37,473.86	40,000	1,070,681.62	900,000	24,090,336.45		
1,600	42,827.26	50,000	1,338,352.03	1,000,000	26,767,040.50		
1,800	48,180.68	60,000	1,606,022.43	2,000,000	53,534,081.00		
2,000	53,534.08	70,000	1,873,692.84	3,000,000	80,301,121.50		
3,000	80,301.13	80,000	2,141,363.24	4,000,000	107,068,162.00		
4,000	107,068.16	90,000	2,409,033.65	5,000,000	133,835,202.50		
5,000	133,835.21	100,000	2,676,704.05	6,000,000	160,602,243.00		
6,000	160,602.24	200,000	5,353,408.10	7,000,000	187,369,283.50		

(1) Maximum number of Hong Kong Offer Shares available for

2. Your application (), must complete the form in English in BLOCK Letter as indicated below and sign on the second page of the Application Form. Only valid signatures will be accepted (and no copy of original copy).
- If you are applying through a designated CCASS Participant (other than a CCASS Investor Participant):
- the designated CCASS Participant must endorse the form with his company name (bearing company name) and insert his Participant I.D. in the appropriate box.
 - If you are applying as an individual CCASS Investor Participant:
 - the form must contain your NAME and Hong Kong Identity Card number;
 - your Participant I.D. must be inserted in the appropriate box.
 - If you are applying as a joint individual CCASS Investor Participant:
 - the form must contain all joint investor names and the Hong Kong Identity Card number of all joint investor;
 - your Participant I.D. must be inserted in the appropriate box.
 - If you are applying as a corporate CCASS Investor Participant:
 - the form must contain your company name and Hong Kong Business Registration number;
 - your Participant I.D. and your company name (bearing company name) must be inserted in the appropriate box.

Incorporate omission of details of the CCASS Participant including Participant I.D. and/or company name or other similar matters rendered your application invalid.

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3. Sale or cheque or banker’s cashier order of the form. Each application for the Hong Kong Offer Share must be accompanied by either one of the above cheque or one of the above banker’s cashier order. Your application will be rejected if a cheque or banker’s cashier order does not meet all the following requirements:

The cheque must:	Banker’s cashier order must:
<ul style="list-style-type: none"> · be in Hong Kong dollars; · not be overdue; · be made payable to Horsford Nominees Limited — Ganfeng Lithium Public Offer; · be crossed. Account Payee Only; 	
<ul style="list-style-type: none"> · be drawn on a Hong Kong dollar bank account in Hong Kong; and · the account name, which must either be written on the cheque, or be endorsed on the back by a person authorized by the bank. This account name must correspond with the account name. If it is a joint application, the account name must be the same as the joint-named applicant’s name. 	<ul style="list-style-type: none"> · be issued by a licensed bank in Hong Kong, and have the name certified on the back by a person authorized by the bank. The name on the banker’s cashier order must correspond with the account name. If it is a joint application, the name on the back of the banker’s cashier order must be the same as the joint-named applicant’s name.

4. Tear off the Application Form, fold it once and lodge (or complete and lodge Application Form with the cheque or banker’s cashier order attached) to one of the collection boxes at any of the following branches of the following receiving bank:

Standard Chartered Bank (Hong Kong) Limited

	Branch Name	Address
Hong Kong Island	De Voer Road Branch	Standard Chartered Bank Building, 44A, De Voer Road Central, Central
	Queen’s Quay Branch	G/F, Weiland Garden, 1027 King’s Road, Queen’s Quay
Kowloon	Kowloon Tong Branch	G/F & 1/F One Pacific Centre, 414 Kowloon Tong Road, Kowloon Tong
	Mongkok Branch	Shoeb, G/F, 1/F & 2/F, 617-623 Nathan Road, Mongkok
New Territories	Tai Po Branch	G/F Shop No. 2, 23-25 Kwong Fook Road, Tai Po Market, Tai Po

5. Your Application Form can be lodged at the following times:

- Thursday, September 27, 2018 — 9:00 a.m. to 5:00 p.m.**
- Friday, September 28, 2018 — 9:00 a.m. to 5:00 p.m.**
- Saturday, September 29, 2018 — 9:00 a.m. to 1:00 p.m.**
- Tuesday, October 2, 2018 — 9:00 a.m. to 5:00 p.m.**
- Wednesday, October 3, 2018 — 9:00 a.m. to 12:00 noon**

6. The last time for lodging an application is 12:00 noon on Wednesday, October 3, 2018. The application list will be open between 11:45 a.m. to 12:00 noon on that day, subject only to the above conditions, as described in 10. Effect of Bad Weather on the Opening of the Application List in the Hong Kong Offer Share Section of the Prospectus.

如閣下欲以香港中央結算(代理人)有限公司(「香港結算代理人」)的名義登記將獲發行的香港發售股份，並直接存入中央結算及交收系統(「中央結算系統」)，以記存於閣下的中央結算系統投資者戶口持有人股份戶口或閣下指定的中央結算系統參與者股份戶口，請使用本表格

申請手續

1. 使用下表計算閣下應付的款項。閣下申請認購的股數須至少為200股香港發售股份，並為下表所列的其中一個數目，否則恕不受理。

江西贛鋒鋁業股份有限公司(股份代號：1772) (每股H股26.50港元) 可供申請認購的香港發售股份數目及應繳款項							
申請認購的 香港發售 股份數目	申請時 應繳款項 港元	申請認購的 香港發售 股份數目	申請時 應繳款項 港元	申請認購的 香港發售 股份數目	申請時 應繳款項 港元	申請認購的 香港發售 股份數目	申請時 應繳款項 港元
200	5,353.41	7,000	187,369.29	300,000	8,030,112.15	8,000,000	214,136,324.00
400	10,706.82	8,000	214,136.32	400,000	10,706,816.20	9,000,000	240,903,364.50
600	16,060.23	9,000	240,903.37	500,000	13,383,520.25	10,009,400 ⁽¹⁾	267,922,015.19
800	21,413.63	10,000	267,670.41	600,000	16,060,224.30		
1,000	26,767.05	20,000	535,340.81	700,000	18,736,928.35		
1,200	32,120.45	30,000	803,011.22	800,000	21,413,632.40		
1,400	37,473.86	40,000	1,070,681.62	900,000	24,090,336.45		
1,600	42,827.26	50,000	1,338,352.03	1,000,000	26,767,040.50		
1,800	48,180.68	60,000	1,606,022.43	2,000,000	53,534,081.00		
2,000	53,534.08	70,000	1,873,692.84	3,000,000	80,301,121.50		
3,000	80,301.13	80,000	2,141,363.24	4,000,000	107,068,162.00		
4,000	107,068.16	90,000	2,409,033.65	5,000,000	133,835,202.50		
5,000	133,835.21	100,000	2,676,704.05	6,000,000	160,602,243.00		
6,000	160,602.24	200,000	5,353,408.10	7,000,000	187,369,283.50		

(1)閣下可申請認購的香港發售股份最高數目

2. 閣下作為申請人，必須按照下列指示以英文正楷填妥表格，並於申請表格第二頁簽署，只接納親筆簽名(不得以個人印章代替)。

如閣下透過中央結算系統投資者戶口持有人以外的指定中央結算系統參與者提出申請：

- 該指定中央結算系統參與者必須於申請表格蓋上公司印章(須有公司名稱)，並在適當欄目填上參與者編號。

如閣下以個人中央結算系統投資者戶口持有人名義提出申請：

- 表格須載有閣下的姓名和香港身份證號碼；
- 須在適當方格內填寫閣下的參與者編號。

如閣下以聯名個人中央結算系統投資者戶口持有人名義提出申請：

- 表格須載有所有聯名投資者戶口持有人的姓名和香港身份證號碼；
- 須在適當方格內填寫閣下的參與者編號。

如閣下以公司中央結算系統投資者戶口持有人名義提出申請：

- 表格須載有閣下的公司名稱和香港商業登記號碼；
- 須在適當方格內填寫閣下的參與者編號並蓋上公司印鑑(附有公司名稱)。

中央結算系統參與者的資料(包括參與者編號及或顯示公司名稱之公司印鑑)或其他類似事項如有不確或遺漏，均可能導致申請無效。

如閣下欲以香港中央結算(代理人)有限公司(「香港結算代理人」)的名義登記將獲發行的香港發售股份，並直接存入中央結算及交收系統(「中央結算系統」)，以記存於閣下的中央結算系統投資者戶口持有人股份戶口或閣下指定的中央結算系統參與者股份戶口，請使用本表格

3. 閣下須將支票或銀行本票釘於表格上。每份香港發售股份申請須附一張獨立開出支票或一張獨立開出銀行本票。閣下的支票或銀行本票必須符合以下所有規定，否則有關的認購申請將不獲接納：

支票必須：	銀行本票必須：
<ul style="list-style-type: none">以港元開出；不得為期票；註明抬頭人為「浩豐代理人有限公司—江西贛鋒鋳業公開發售」；劃線註明「只准入抬頭人賬戶」；	
<ul style="list-style-type: none">從閣下在香港的港元銀行賬戶中開出；及顯示閣下的賬戶名稱，而該賬戶名稱必須已預印在支票上，或由有關銀行授權的人士在支票背書。賬戶名稱必須與閣下姓名名稱相同。如屬聯名申請，該賬戶名稱必須與排名首位申請人的姓名名稱相同。	<ul style="list-style-type: none">須由香港持牌銀行開出，並由有關銀行授權的人士在銀行本票背面簽署核證閣下姓名名稱。銀行本票所示姓名名稱須與閣下姓名名稱相同。如屬聯名申請，銀行本票背面所示姓名名稱必須與排名首位申請人的姓名名稱相同。

4. 請撕下申請表格，對摺一次，然後將填妥的申請表格(連同隨附的支票或銀行本票)投入下列收款銀行的指定分行特設的收集箱：

渣打銀行(香港)有限公司

	分行名稱	地址
港島區	德輔道分行 鰂魚涌分行	中環德輔道中4-4A號渣打銀行大廈 鰂魚涌英皇道1027號惠安苑地下
九龍區	觀塘分行 旺角分行	觀塘觀塘道414號一亞太中心地下及一樓 旺角彌敦道617-623號地下、一樓及二樓B舖
新界區	大埔分行	大埔大埔墟廣福道23-25號地下2號舖

5. 閣下可於下列時間遞交申請表格：

2018年9月27日(星期四) — 上午九時正至下午五時正
2018年9月28日(星期五) — 上午九時正至下午五時正
2018年9月29日(星期六) — 上午九時正至下午一時正
2018年10月2日(星期二) — 上午九時正至下午五時正
2018年10月3日(星期三) — 上午九時正至中午十二時正

6. 截止遞交申請的時間為2018年10月3日(星期三)中午十二時正。本公司將於當日上午十一時四十五分至中午十二時正期間登記認購申請，唯一會影響此時間的變化因素為當日的天氣情況(詳見招股章程「如何申請香港發售股份」一節「10. 惡劣天氣對開始辦理申請登記的影響」)。

Please use this form if you want the Hong Kong Offer Shares to be issued in the name of HKSCC Nominees Limited (“HKSCC Nominees”) and deposited directly into the Central Clearing and Settlement System (“CCASS”) for credit to your CCASS Investor Participant stock account or the stock account of your designated CCASS Participant

Conditions of your application

A. Who can apply

1. You and an agent for whom you are acting must be 18 years of age or older and must have a Hong Kong address.
2. If you are a firm, the application must be in the individual member's name.
3. The number of joint applications may not exceed 4.
4. If you are a body corporate, the application must be signed by a duly authorized officer, who must be his or her representative, and accompanied by his or her corporate resolution.
5. You must be a resident of the United States, not be a United States citizen.



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- agree that each of HKSCC and HKSCC Nominee shall be deemed to have authorized (1) the acceptance and issue of each allotted Share issued in the name of HKSCC Nominee or not to accept each allotted Share for deposit into CCASS; (2) the acceptance of each allotted Share to be withdrawn from CCASS and issued in the name of the Applicant and company; and (3) the acceptance of each allotted Share to be issued in the name of the Applicant (or, if the Applicant is a joint applicant, of the Applicant-named applicant) and in each case, of the certificate for each allotted Share as the Applicant or to add to the Application Form by electronic means or to make available the same for the collection;
- agree that each of HKSCC and HKSCC Nominee may adjust the number of allotted Shares issued in the name of HKSCC Nominee;
- agree that neither HKSCC nor HKSCC Nominee shall have any liability for the information and representation contained in the Prospectus and the Application Form;
- agree that neither HKSCC nor HKSCC Nominee shall be liable to or in any way;
- declare and represent that the only application made and the only application intended to be made to benefit or for the benefit of the Applicant;
- understand and have the Company and the Joint Global Coordinator well informed on the declaration and representation in deciding whether or not to make an allotment of any of the Hong Kong Offer Shares to or and have or may be required for making a false declaration;
- (if the application is made for the benefit of the Applicant has no other application has been or will be made for the benefit on a **WHITE** or **YELLOW** Application Form or by giving **electronic application instructions** to HKSCC or through the **White Form eIPO Service Provider** or otherwise by an agent or otherwise; and
- (**WHITE/YELLOW** electronic application instructions)

閣下欲以香港中央結算(代理人)有限公司(「香港結算代理人」)的名義登記將獲發行的香港發售股份，並直接存入中央結算及交收系統(「中央結算系統」)，以記存於閣下的中央結算系統投資者戶口持有人股份戶口或閣下指定的中央結算系統參與者股份戶口，請使用本表格



Ganfeng Lithium Co., Ltd. 江西贛鋒鋳業股份有限公司

(於中華人民共和國註冊成立的股份有限公司)

全球發售

申請條件

甲. 可提出申請的人士

- 閣下及閣下為其利益提出申請的人士必須年滿18歲並有香港地址。
- 如閣下為商號，申請必須以個別成員名義提出。
- 聯名申請人不得超過四名。
- 如閣下為法人團體，申請須經獲正式授權人員簽署，並註明其所屬代表身份及蓋上公司印鑑。
- 閣下必須身處美國境外，並非美籍人士(定義見S規例)，亦非中國法人或自然人。
- 除非為上市規則所批准，否則，下列人士概不得申請認購任何香港發售股份：
 - 本公司及或其任何附屬公司股份的現有實益擁有人；
 - 本公司及或其任何附屬公司的董事、監事或行政總裁；
 - 本公司關連人士(定義見上市規則)或緊隨全球發售完成後成為本公司關連人士的人士；
 - 上述任何人士的聯繫人(定義見上市規則)；
 - 或
 - 已獲分配或已申請任何國際發售股份或以其他方式參與國際發售的人士。

確認閣下知悉招股章程內有關全球發售的限制；

同意本公司、獨家保薦人、聯席全球協調人、包銷商、彼等各自的董事、高級職員、僱員、合夥人、代理、顧問及參與全球發售的任何其他人士現時及日後均毋須對並非載於招股章程(及其任何補充文件)的任何資料及陳述負責；

承諾及確認閣下或閣下為其利益提出申請的人士並無申請或接納或表示有意認購(亦不會申請或接納或表示有意認購)國際發售的任何發售股份，亦無參與國際發售；

同意應本公司、H股證券登記處、收款銀行、獨家保薦人、聯席全球協調人、包銷商及或彼等各自的顧問及代理的要求，向彼等披評緣

楮光稱舐民料午

儂榭鑛夥閣下知租

銷體雋冬 意未仗蟀仝閣下已崎冊

廊輒扌容

吃戾閣下已顧，吁閣下洩柔股章程內仗均載烙鏹急
同意扔閣下已鵠請玫零絞納迪，名痼楷采系矢本鵠醜壓寮

乙. 如閣下為代名人

閣下作為代名人可提交超過一份香港發售股份申請，方法是：(i)透過中央結算系統向香港結算發出電子指示(如閣下為中央結算系統參與者)；或(ii)使用白色或黃色申請表格，以自身名義代表不同的實益擁有人提交超過一份申請。

丙. 填寫及遞交本申請表格的效用

閣下填妥並遞交申請表格，即表示閣下(如屬聯名申請人，即各人共同及個別)代表閣下本身，或作為閣下代其行事的每位人士的代理或代名人：

承諾簽立所有有關文件，及指示並授權本公司及或聯席全球協調人(或其代理或代名人)作為本公司代理為閣下簽立任何文件，並代表閣下處理一切必要事務，以便根據公司章程的規定，以香港結算代理人的名義登記閣下獲分配的任何香港發售股份；

同意遵守《公司條例》、第32章《公司(清盤及雜項條文)條例》、中國公司法及公司章程；

確認閣下已閱讀招股章程及本申請表格所載條款及條件以及申請程序，並同意受其約束；

確認閣下已接獲及閱讀招股章程，且閣下提出申請時僅依賴招股章程所載資料及陳述而不會依賴任何其他資料或陳述(招股章程任何補充文件所載者除外)；

如閣下欲以香港中央結算(代理人)有限公司(「香港結算代理人」)的名義登記將獲發行的香港發售股份，並直接存入中央結算及交收系統(「中央結算系統」)，以記存於閣下的中央結算系統投資者戶口持有人股份戶口或閣下指定的中央結算系統參與者股份戶口，請使用本表格

中央結算系統提取，並轉入閣下名下，有關風

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Personal Data

Personal Information Collection Statement

This Personal Information Collection Statement informs the applicant for, and holder of, Hong Kong Offer Shares, of the policies and practices of the Company and the Hong Share Registry in relation to personal data and the Personal Data (Privacy) Ordinance (Chapter 486 of the Laws of Hong Kong) (the **Ordinance**).

1. Reasons for the collection of your personal data

It is necessary for a applicant and registered holder of securities of the Company to provide personal data to the Company or its agents and the Hong Share Registry when applying for securities or transferring securities in order to effect their name in voting the exercise of the Hong Share Registry.

Failure to provide the required data may result in a applicant for securities being rejected, or in delay of the inability of the Company or the Hong Share Registry to effect transfer of securities or to exercise their exercise. It may also result in delay of registration of transfer of the Hong Kong Offer Shares which may have a negative effect on the value of the securities and/or result in the securities being delisted or suspended from trading.

It is important that each securities holder inform the Company and the Hong Share Registry immediately of any inaccuracies in the personal data provided.

2. Purposes

The personal data of the securities holder may be held and processed for the following purposes:

- processing of a application and registration of securities and e-Registration in connection with the securities, including the securities and a application received in this Application Form and the Prospectus and announcing details of allocation of the Hong Kong Offer Shares;
- compliance with a applicable laws and regulations in Hong Kong and elsewhere;
- registering the name of securities holder in order to effect the name of securities holder including, where applicable, HKSCC Nominee;
- maintaining or updating the register of securities holder of the Company;
- identifying securities holder's identity;
- establishing beneficial interests of securities holder of the Company, including direct, indirect, joint and beneficial interests, etc.;
- distributing communication from the Company and its subsidiaries;
- compiling statistical information and securities holder profiles;
- disclosing relevant information to facilitate a claim on beneficiaries; and
- any other incidental or associated purposes relating to the above and/or to enable the

如閣下欲以香港中央結算(代理人)有限公司(「香港結算代理人」)的名義登記將獲發行的香港發售股份，並直接存入中央結算及交收系統(「中央結算系統」)，以記存於閣下的中央結算系統投資者戶口持有人股份戶口或閣下指定的中央結算系統參與者股份戶口，請使用本表格

個人資料

個人資料收集聲明

此項個人資料收集聲明是向香港發售股份的申請人和持有人說明本公司及其H股證券登記處有關個人資料和香港法例第486章《個人資料(私隱)條例》(「條例」)方面的政策和措施。

1. 收集閣下個人資料的原因

證券申請人及登記持有人以本身名義申請證券或轉讓或受讓證券時或尋求H股證券登記處的服務時，必須向本公司或其代理及H股證券登記處提供準確個人資料。

未能提供所要求的資料可能導致閣下的證券申請被拒或延遲，或本公司或其H股證券登記處無法落實轉讓或提供服務。此舉亦可能妨礙或延遲登記或轉讓閣下成功申請的香港發售股份及或寄發閣下應得的股票及或退款支票及或電子退款指示。

證券持有人所提供的個人資料如有任何錯誤，須立即通知本公司及H股證券登記處。

2. 用途

證券持有人的個人資料可就下列目的持有及處理：

- 處理閣下的申請及退款支票及電子退款指示(如適用)、核實是否符合本申請表格及招股章程載列的條款和申請程序以及公佈香港發售股份的分配結果；
- 遵守香港及其他地區的適用法律及法規；
- 以證券持有人(包括香港結算代理人(如適用))的名義登記新發行證券或轉讓或受讓證券；
- 存置或更新本公司證券持有人的名冊；
- 核實證券持有人的身份；
- 確定本公司證券持有人的受益權利，例如股息、供股和紅股等；
- 分發本公司及其附屬公司的通訊；
- 編製統計數據和證券持有人資料；
- 披露有關資料以便就權益提出申索；及
- 與上述者有關的任何其他附帶或相關用途及或使本公司及H股證券登記處能履行對證券持有人及或監管機構承擔的責任及或證券持有人不時同意的任何其他目的。

3. 轉交個人資料

本公司及其H股證券登記處所持有關證券持有人的個人資料將會保密，但本公司及其H股證券登記處可以在為達到上述任何目的之必要情況下，向下列任何人士披露或轉交(無論在香港境內或境外)有關個人資料：

- 本公司委任的代理，如財務顧問、收款銀行及海外股份過戶登記總處；
- (如證券申請人要求將證券存於中央結算系統)香港結算或香港結算代理人；彼等將會就中央結算系統的運作使用有關個人資料；
- 向本公司或H股證券登記處提供與其各自業務運作有關的行政、電訊、電腦、付款或其他服務的任何代理、承辦商或第三方服務供應商；
- 聯交所、證監會及任何其他法定監管機關或政府部門或法例、規則或法規所規定者；及
- 證券持有人與其進行或擬進行交易的任何人士或機構，如彼等的銀行、律師、會計師或股票經紀等。

4. 個人資料的保留

本公司及其H股證券登記處將按收集個人資料所需的用途保留證券申請人及持有人的個人資料。無需保留的個人資料將會根據條例銷毀或處理。

5. 查閱和更正個人資料

證券持有人有權確定本公司或H股證券登記處是否持有其個人資料，並有權索取有關該資料的副本並更正任何不準確的資料。本公司及H股證券登記處有權就處理任何查閱資料的要求收取合理費用。所有查閱資料或更正資料的要求應按招股章程「公司資料」一節所披露或不時通知的本公司註冊地址送交公司秘書，或向本公司的H股證券登記處的個人資料私隱事務主任提出。

閣下簽署申請表格或向香港結算發出電子認購指示，即表示同意上述各項。